



电磁势作用下介观环中的电子输运

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Apply electromagnetic potentials to **mesoscopic loops**

A few important effects in electron transport

Main characteristics involves in **conductance oscillation**

Three important themes in twenty century physics

Quantization, symmetry, and phase factor



§ 1 Gauge Transformation of Electronic Wavefunctions



Invariance of gauge transformation, one type of symmetry,

related to electronic wavefunctions

gauge, Weyl, 1918

Two equivalent schemes for describing

electromagnetic fields

magnetic field \mathbf{B} and electric field \mathbf{E}

or vector potential \mathbf{A} and scalar potential φ

Both schemes are related by

$$\mathbf{B} = \nabla \times \mathbf{A}, \quad \mathbf{E} = -\nabla \varphi - \frac{1}{c} \frac{\partial \mathbf{A}}{\partial t} \quad (1)$$

The relation is not one to one correspondence



H. Weyl (1885–1955)





An arbitrary scalar function $\Lambda(\mathbf{r}, t)$ satisfies

$$\mathbf{A}' = \mathbf{A} + \nabla\Lambda, \quad \varphi' = \varphi - \frac{1}{c} \frac{\partial\Lambda}{\partial t} \quad (2)$$

The new \mathbf{A}' and φ' give the same \mathbf{B} and \mathbf{E}

(2) is a **gauge transformation**, physical quantities invariant

In **classical electrodynamics**, fields alone are enough
vector and scalar potentials aid to convenience

For same \mathbf{B} and \mathbf{E} , \mathbf{A} and φ are not unique

Additional restriction, **gauge condition**, introduced

Two types of gauges are often used:

Coulomb gauge $\nabla \cdot \mathbf{A} = 0$ and **Lorentz gauge** $\nabla \cdot \mathbf{A} + \partial\varphi/\partial t = 0$





In **quantum theory**, the **electromagnetic potentials** play a more significant role as in the study of Landau levels

Go further to discuss the deep meaning of electromagnetic potentials

The Hamiltonian for a single electron

$$\mathcal{H} = \frac{1}{2m} \left(\frac{\hbar}{i} \nabla + \frac{e}{c} \mathbf{A} \right)^2 - e\varphi + V(\mathbf{r}) \quad (3)$$

electromagnetic potential \mathbf{A} , φ , other **scattering potential** $V(\mathbf{r})$

Gauge invariance comes from the fact that **wavefunction** comprises two parts, i.e., **amplitude and phase**



To examine the **time-dependent Schrödinger equation** for an electron

$$i\hbar \frac{\partial}{\partial t} \psi = \left[\frac{1}{2m} \left(\frac{\hbar}{i} \nabla + \frac{e}{c} \mathbf{A} \right)^2 - e\varphi + V(\mathbf{r}) \right] \psi \quad (4)$$

when the external potentials transformed as (2), the wavefunction ψ correspondingly transformed like

$$\psi' = \psi e^{-ie\Lambda(\mathbf{r},t)/\hbar c} \quad (5)$$

then the Schrödinger equation (4) keeps invariant in its form, except an **additional phase factor** in the wavefunction

$$\frac{e}{\hbar c} \Lambda(\mathbf{r},t) = \frac{e}{\hbar} \int \left(\varphi dt - \frac{\mathbf{A}}{c} \cdot d\mathbf{r} \right) \quad (6)$$





(2) is called the **second, or local, gauge transformation**,
as A is a spatial function

However, when A is a constant, it is called the **first, or global, gauge transformation**

Electromagnetic potential (A, φ) is **one of the gauge fields**



§ 2 Magnetic Aharonov-Bohm Effect

First, consider only \mathbf{A} there

$\psi(\mathbf{r})$ can be related to $\psi_0(\mathbf{r})$ with $\mathbf{A} = 0$

$$\psi(\mathbf{r}) = \psi_0(\mathbf{r}) \exp\left(\frac{ie}{\hbar c} \int \mathbf{A} \cdot d\mathbf{r}\right) \quad (7)$$

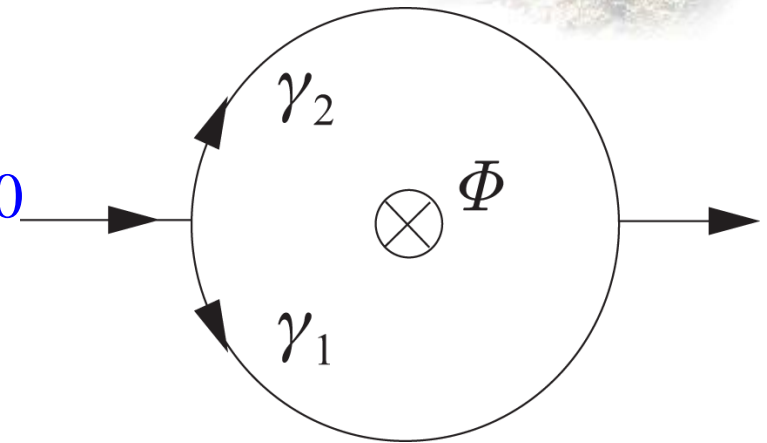


Figure 1 Schematic picture for the Aharonov-Bohm effect.

\mathbf{A} can affect electronic behavior, even though there is no classical field \mathbf{B} in the path where electron passed

Aharonov-Bohm (AB) effect, Phys. Rev. **115**, 485 (1959)

Aharonov and Bohm's experimental scheme, Fig. 1





A double slits experiment for an electron beam

$$\begin{aligned}\psi_1(\mathbf{r}) &= \psi_0(\mathbf{r}) \exp\left(\frac{ie}{\hbar c} \int_{\gamma_1} \mathbf{A} \cdot d\mathbf{r}\right), \\ \psi_2(\mathbf{r}) &= \psi_0(\mathbf{r}) \exp\left(\frac{ie}{\hbar c} \int_{\gamma_2} \mathbf{A} \cdot d\mathbf{r}\right)\end{aligned}\tag{8}$$

The electron density on the screen

$$|\psi_1 + \psi_2|^2 = 2|\psi_0|^2 + 2|\psi_0|^2 \cos\frac{2\pi\Phi}{\phi_0}\tag{9}$$

$$\Phi = \int_{\gamma_1-\gamma_2} \mathbf{A} \cdot d\mathbf{r} = \oint \mathbf{A} \cdot d\mathbf{l} = \iint \mathbf{B} \cdot d\mathbf{S}$$

is the magnetic flux of the solenoid, and $\phi_0 = hc/e$





(i) no magnetic field, $\Phi = 0$

(9) gives an electron interference pattern

(ii) increase flux, $\Phi \neq 0$

interference fringes move periodically with the period ϕ_0

a quantum effect from modulation of phase factor by A

In quantum level, A is a real entity

AB effect displays the **geometric phase** which comes from

adiabatic cyclic evolution of microscopic particle

M. V. Berry, Proc. Roy. Soc. London, Ser. A **392**, 45 (1984)





AB effect was used to check the quantized frozen magnetic flux
in superconducting hollow cylinder

the basic magnetic flux quantum is $hc/2e$ due to Cooper pair

Experimental verification of **AB effect in free space**

Chambers in 1960 and Tonomura *et al.* in 1982

What will happen in metals?

Is there phase coherence for diffusion motion?

Elastic scattering and inelastic scattering

In mesoscopic metal rings, the AB effect could be observed





Wave nature of an electron gives rise to interference

The resistance of a ring is low or high

for **constructive** or **destructive interference**

Two coherent electron beams in medium suffering multi-scatterings

Incident beams at origin

$$\psi_1 = \psi_2 = \psi_0 \exp\left(-\frac{i}{\hbar} Et\right) \quad (10)$$

In elastic scattering case, E has no change,

but collision phase shifts α_1, α_2 may appear





Wavefunctions on the screen

$$\begin{aligned}\psi_1(\mathbf{r}, t) &= \psi_0(\mathbf{r}) \exp\left(-\frac{i}{\hbar} Et + i\alpha_1\right), \\ \psi_2(\mathbf{r}, t) &= \psi_0(\mathbf{r}) \exp\left(-\frac{i}{\hbar} Et + i\alpha_2\right)\end{aligned}\tag{11}$$

interference term

$$\psi_1^* \psi_2 + \psi_1 \psi_2^* = 2 |\psi_0|^2 \cos(\alpha_1 - \alpha_2)\tag{12}$$

$(\alpha_1 - \alpha_2)$ is related to the elastic scatterers



In inelastic scattering case, E is changed

$$\begin{aligned}\psi_1(\mathbf{r}, t) &= \psi_0(\mathbf{r}) \exp\left(-\frac{i}{\hbar} E_1 t + i\alpha_1\right), \\ \psi_2(\mathbf{r}, t) &= \psi_0(\mathbf{r}) \exp\left(-\frac{i}{\hbar} E_2 t + i\alpha_2\right)\end{aligned}\tag{13}$$



interference term

$$\psi_1^* \psi_2 + \psi_1 \psi_2^* = 2 |\psi_0|^2 \cos\left(\alpha_1 - \alpha_2 + \frac{E_1 - E_2}{\hbar} t\right)\tag{14}$$

time average \rightarrow zero

Conclusion is only **elastic scattering keeps phase coherence**

In a small metal loop with a flux Φ , (12) becomes

$$\psi_1^* \psi_2 + \psi_1 \psi_2^* = 2 |\psi_0|^2 \cos\left(\frac{2\pi\Phi}{\phi_0} + \alpha_1 - \alpha_2\right)\tag{15}$$





AB effect in metal rings

Typically $\tau_{\text{in}} = 10^{-11}$ s at 1 K, $v_{\text{F}} = 10^8$ cms⁻¹, $l_{\text{in}} = v_{\text{F}} \cdot \tau_{\text{in}} \approx 10^5$ Å

Distance without losing its phase memory is about $10 \mu\text{m} = 10^5$ Å

With the development of micro-fabrication technique

periodic oscillation of resistance in a gold ring enclosing

a magnetic flux, as shown in Fig. 2, R. A. Webb et al. in 1985

A gold ring with an inside diameter of ~ 8000 Å and

a width ~ 400 Å



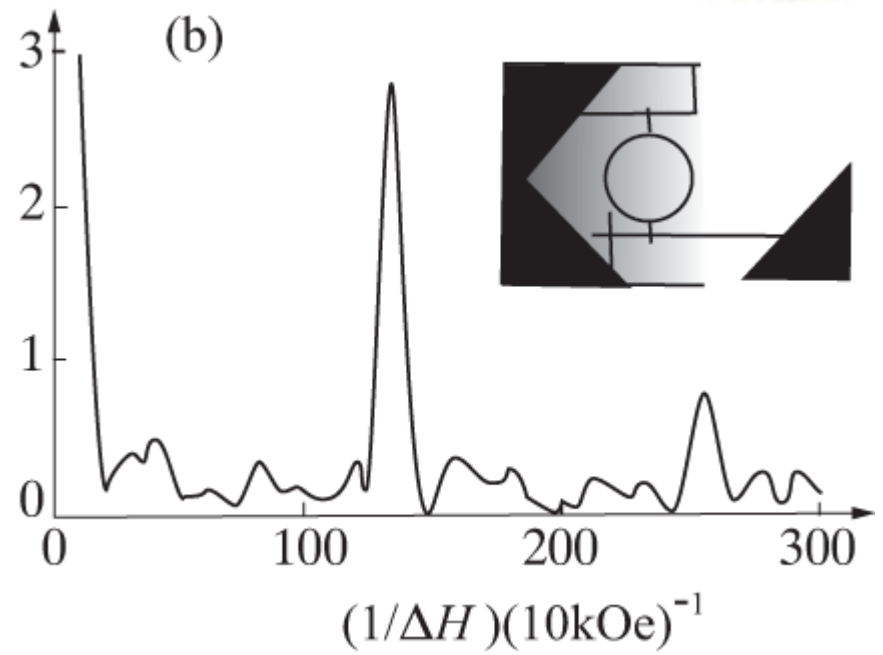
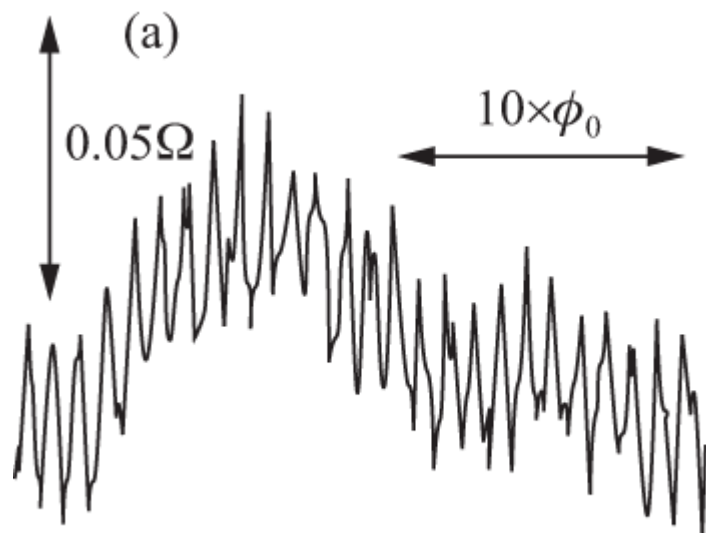


Figure 2 Aharonov-Bohm effect in a gold ring. (a) Oscillation in the magnetoresistance as a function of magnetic field; (b) Fourier transform of the data in (a).



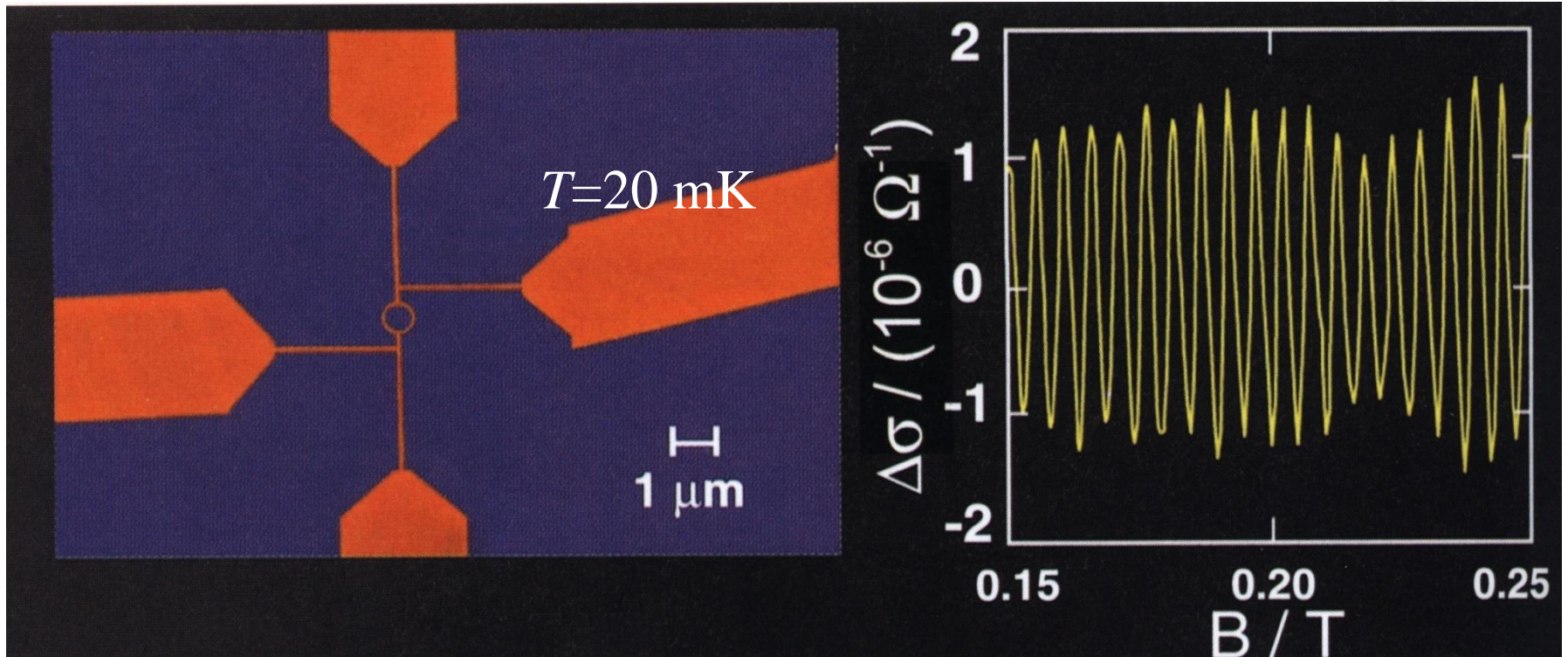


Figure 3 Quantum interference in Cu nanoring by Häussler and Löhneysen.



Aharonov-Bohm Oscillations in Singly Connected Disordered Conductors

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We show that the transport and thermodynamic properties of a *singly connected* disordered conductor exhibit quantum Aharonov-Bohm oscillations as a function of the total magnetic flux through the sample. The oscillations are associated with the interference contribution from a special class of electron trajectories confined to the surface of the sample.

DOI: 10.1103/PhysRevLett.114.076802

PACS numbers: 73.23.-b, 74.78.-w, 74.78.Na

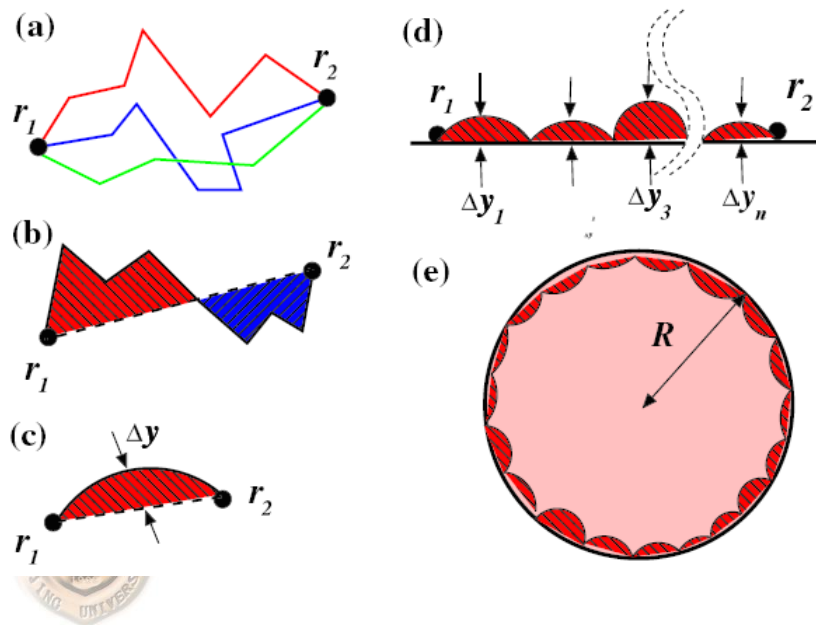


FIG. 1 (color online). (a) Diffusive paths contributing to the interference corrections. (b) Sketch of the directed area swept by a path. The blue and red regions give opposite in sign contributions. (c) Typical path leading to the Gaussian decay of the Cooperon in the bulk. (d) Typical path near the surface. (e) Surface paths leading to AB oscillations. Notice that the straight segment structure of diffusive paths in (a),(b) is not shown in panels (c)–(e).

§ 3 Electrostatic Aharonov-Bohm Effect



The contribution of φ to phase of electronic wavefunction

An **electrostatic potential** will accumulates a phase

$$\Delta\theta = (e / \hbar) \int^{\tau} \varphi dt \quad (16)$$

Potential difference for changing the relative phase by 2π is

$$\Delta\varphi = h/e\tau$$

τ is the shorter of the time for electron traveling

through the device and the phase coherence time τ_{in}





The **combined influence** of **electrostatic** and **magnetic potentials** on electron waves

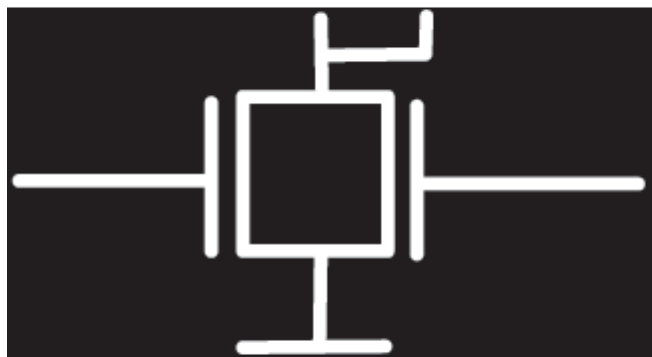
Washburn *et al.* in 1987 investigated the effect of a transverse electric field on the magnetic Aharonov-Bohm oscillations

in Fig. 4, the loop is $0.82 \mu\text{m}$ on a side and $d \simeq 0.075 \mu\text{m}$ thick

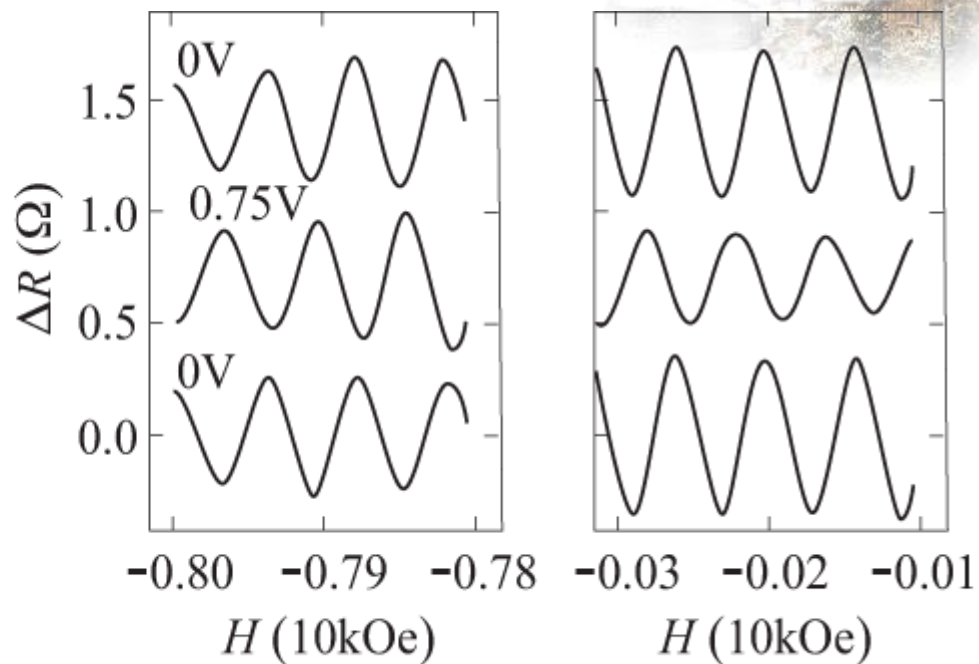
A little doubt about it, due to difficulty to apply

a well defined voltage difference across the ring





(a)



(b)

Figure 4 Electrostatic Aharonov-Bohm effect. (a) A square Sb loop placed between two metal electrodes; (b) when an electric field is established between the electrodes the phase of the magnetoresistance oscillations can be changed by 180° .





Later on, a new measurement was made on a metal ring

That was interrupted by **two small tunnel junctions**

to confirm the electrostatic effect more convincingly

van Oudenaarden *et al.*, Nature in 1998

Two periods in the measurement of transport properties:

(i) period of magnetic Aharonov-Bohm effect Φ to hc/e

(ii) period of electrostatic Aharonov-Bohm effect $V L^2/D$ to h/e

V and L are potential difference and distance between

two tunnel barriers, and D is diffusion coefficient



§ 4 Altshuler-Aronov-Spivak Effect



In Fig. 2(b), first peak is from **AB oscillation** with period hc/e
another oscillation with period $hc/2e$, **AAS effect**

Weak localization, or backscattering, conductance decreases

Altshuler, Aronov, and Spivak, 1981

Here Φ is enclosed by a loop, the phases along the paths
in positive and negative directions are $\Delta\phi_1$ and $\Delta\phi_2$

the phase difference of two partial waves is $\Delta\phi_1 - \Delta\phi_2$

Round the paths twice, $\Delta\phi_1 - \Delta\phi_2 = 2\Phi$



Interference term with period $hc/2e$

$$\psi_1^* \psi_2 + \psi_1 \psi_2^* = 2 |\psi_0|^2 \cos \frac{4\pi}{\phi_0} \quad (17)$$

AAS effect was confirmed by experiment by Sharvins' in 1981

Resistance of a hollow thin-walled metal cylinder, in Fig. 5

In general cases, total electrical resistance $R(H)$ of a small two-lead metal loop threaded by a flux Φ

$$R(H) = R_0 + R_1 \cos \left(\frac{2\pi\Phi}{\phi_0} + \alpha_1 \right) + R_2 \cos \left(\frac{4\pi\Phi}{\phi_0} + \alpha_2 \right) + \dots \quad (18)$$

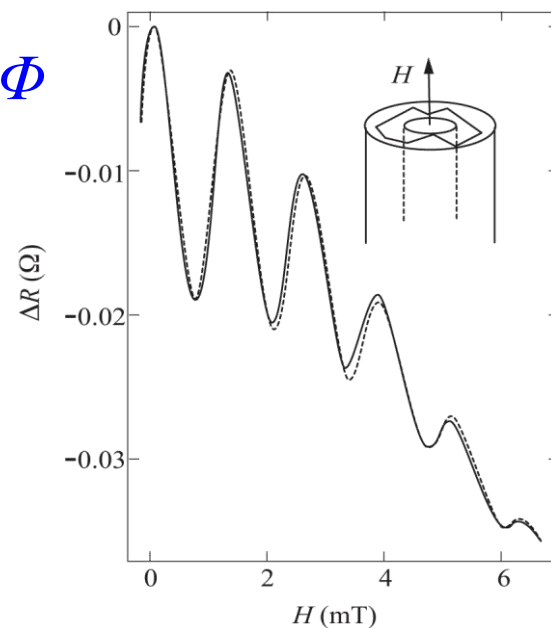


Figure 5 Magnetic field dependence of resistance measured in Li cylinder.





§ 5 Persistent Currents

In

$$|\psi_1 + \psi_2|^2 = 2|\psi_0|^2 + 2|\psi_0|^2 \cos \frac{2\pi\Phi}{\phi_0} \quad (9)$$

the phase change due to magnetic flux is

$$\Delta\theta = 2\pi\Delta\Phi / \phi_0 \quad (19)$$

The cases for Φ and $\Phi + n\phi_0$ are **indistinguishable**

The circumference L plays the role of a unit cell

a one-one correspondence between $2\pi\Phi/\phi_0$ and kL

Total energy E will be flux dependent



Stationary Schrödinger equation from (4) with $\varphi=0$



$$\frac{1}{2m} \left(\frac{\hbar}{i} \frac{d}{dx} + \frac{e}{c} A_x \right)^2 \psi + V(x)\psi = E\psi \quad (20)$$

$$\oint A_x dx = \iint \mathbf{B} \cdot d\mathbf{S} = \Phi \rightarrow A_x = \Phi / L$$

$V(x)$ is the scattering potential, $V(x) = 0$ for an ideal ring

$$\frac{1}{2m} \left(\frac{\hbar}{i} \frac{d}{dx} + \frac{e\Phi}{cL} \right)^2 \psi = E\psi \quad (21)$$

a **plane wave solution**

$$\psi(x) = C \exp(ikx)$$

the **periodic condition**

$$\psi(x+L) = \psi(x)$$



Wavenumber $k = 2\pi n/L$, eigenenergy in (22) is

$$E = \frac{\hbar^2}{2m} \left(\frac{2\pi}{L} \right)^2 \left(n + \frac{\Phi}{\phi_0} \right)^2 \quad (22)$$



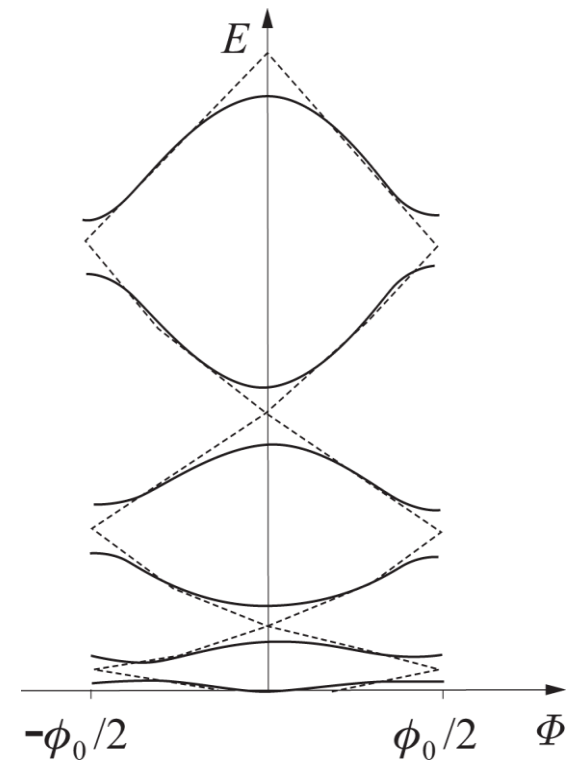
If $V(x)$ is a weak scattering potential, in **NFE approximation** a one-dimensional energy band structure with gaps is obtained, in Fig. 6

First Brillouin zone $(-\phi_0/2) < \Phi < \phi_0/2$

M. Büttiker et al., Phys. Lett. A

96, 365 (1983)

Figure 6 Energy diagram with dependence of flux in metallic ring.



Quantum coherence → persistent currents in mesoscopic rings



Flux-dependent currents, periodic function of ϕ_0

$$I(\Phi) = -\frac{e}{L} \sum_n v_n(\Phi) = -c \sum_n \frac{\partial E_n(\Phi)}{\partial \Phi} \quad (23)$$

For an isolated ring with N electrons

$$E_F = \hbar^2 (N\pi)^2 / 2mL^2$$

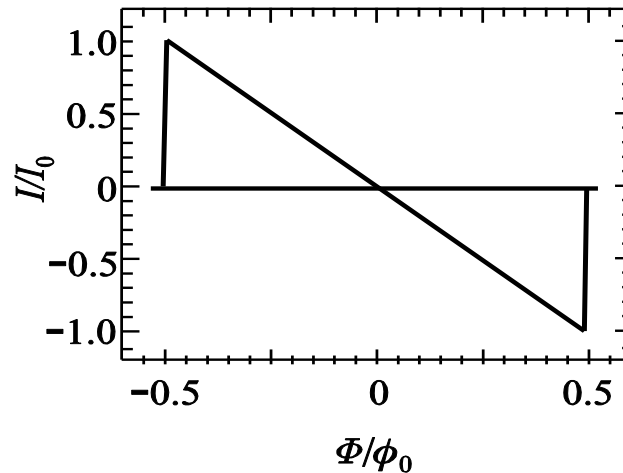
Different persistent current for **odd or even N**

Define $I_0 = heN/2mL^2$

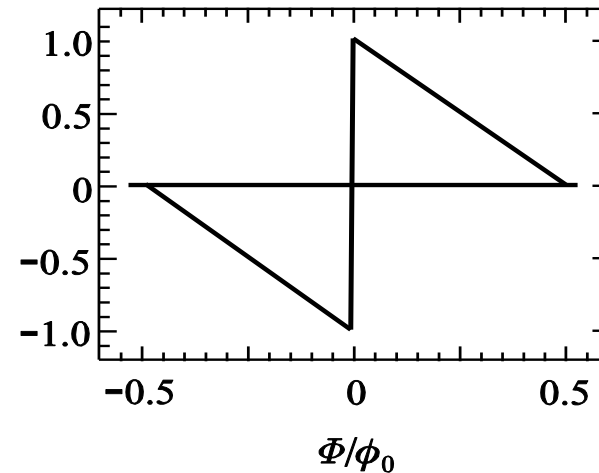


$$I(\Phi) = -I_0 \frac{2\Phi}{\phi_0}, \quad -0.5 \leq \frac{\Phi}{\phi_0} < 0.5, \quad N \text{ is odd} \quad (24)$$

$$I(\Phi) = \begin{cases} -I_0 \left(1 + \frac{2\Phi}{\phi_0}\right), & -0.5 \leq \frac{\Phi}{\phi_0} < 0 \\ I_0 \left(1 - \frac{2\Phi}{\phi_0}\right), & 0 \leq \frac{\Phi}{\phi_0} < 0.5 \end{cases} \quad N \text{ is even} \quad (25)$$



(a)



(b)

Figure 7 Persistent current in a period of the magnetic flux. The chemical potential is fixed, the number of electrons in the ring is (a) odd and (b) even.



To consider free electrons with an impurity, $V(x) = \gamma\delta(x)$
 choose a **reduced parameter**



$$\gamma^* = \frac{\hbar v_F}{\pi} = \frac{\hbar^2 N}{mL} \quad (26)$$

weak- and strong-coupling regimes $\gamma \ll \gamma^*$ and $\gamma \gg \gamma^*$

Figure 8, $I-\Phi$ characteristics at $T = 0$ for odd N

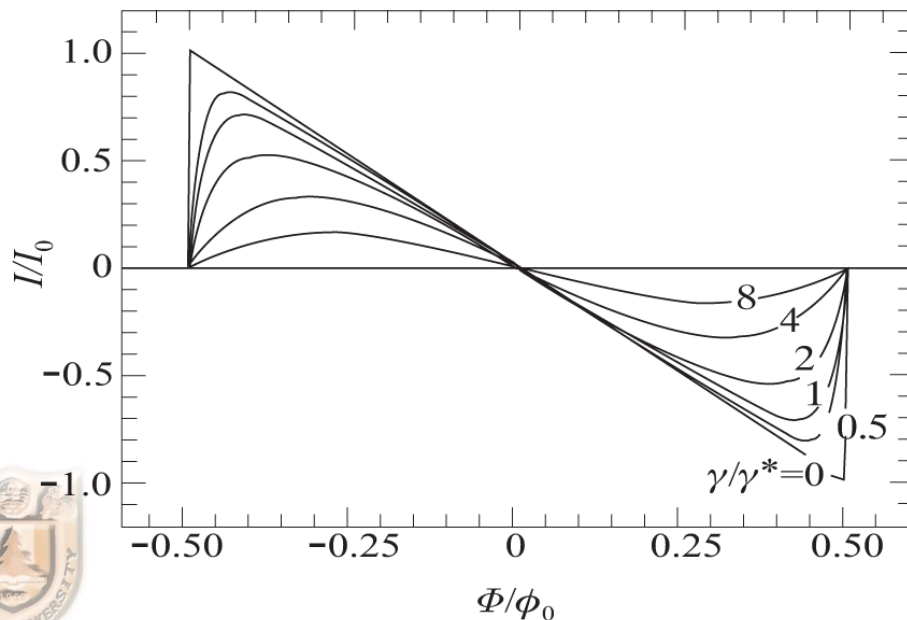


Figure 8 Effect on the persistent current of a single δ -function impurity of strength $\gamma/\gamma^* = 0, 0.5, 1, 2, 4, \text{ and } 8$ in a ring with an odd number of electrons.





Persistent Currents in Normal Metal Rings

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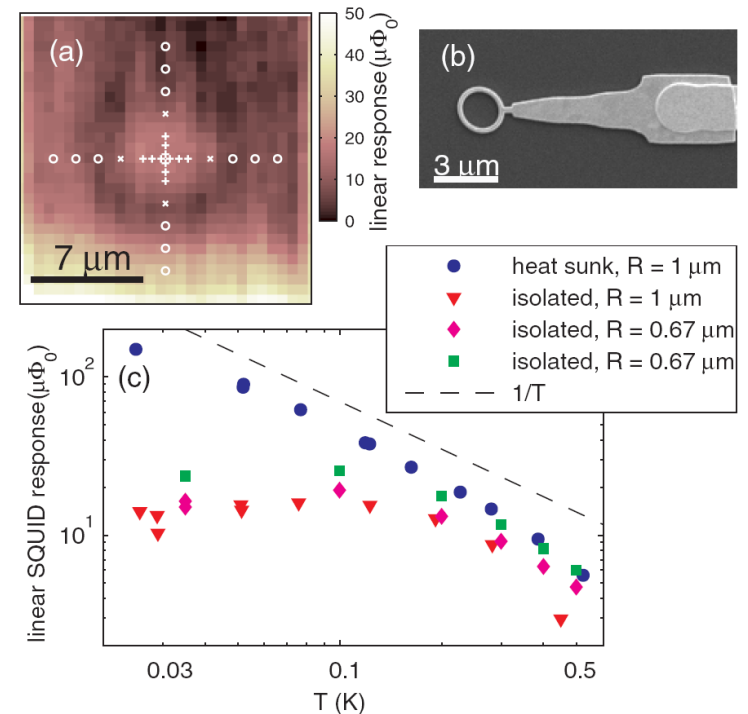
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The authors have measured the magnetic response of 33 individual cold mesoscopic gold rings, one ring at a time. The response of some sufficiently small rings has a component that is periodic in the flux through the ring and is attributed to a persistent current. Its period is close to h/e , and its sign and amplitude vary between rings. The amplitude distribution agrees well with predictions for the typical h/e current in diffusive rings. The temperature dependence of the amplitude, measured for four rings, is also consistent with theory. These results disagree with previous measurements of three individual metal rings that showed a much larger periodic response than expected, enabled *in situ* measurements of the sensor background. A pair of understood anomaly around a zero field are attributed to det

FIG. 1 (color online). (a) Susceptibility scan of an isolated ring used to locate the ring and to determine the indicated measurement positions. Background measurements at positions “○” are subtracted from the data taken at positions “+” to obtain the ring response. (b) Scanning electron micrograph of a heat sunk ring (c) Temperature dependence of the linear response of one heat sunk and three isolated rings. The data in (a) and (c) reflect the total amplitude of the linear response to a sinusoidal excitation of ± 45 G for (a) and the $0.67 \mu\text{m}$ rings in (c), and ± 35 G for the $1 \mu\text{m}$ rings.



For a **time-dependent flux** Φ , an induced **electromotive force**

$$U = -\frac{1}{c} \frac{d\Phi}{dt} \quad (27)$$

In the case U is pure d.c. \rightarrow **Bloch oscillation** for the electrons occupying the bands in Fig. 6, the **equation of motion**

$$-\frac{d\Phi}{dt} = cU \quad (28)$$

frequency is

$$\omega = \frac{2\pi}{\phi_0} \left| \frac{d\Phi}{dt} \right| = \frac{eU}{\hbar} \quad (29)$$



§ 6 Simple Summary

A mesoscopic metallic ring applied by electromagneti potentials shows quantization, phase factor and symmetry, simultaneously, expressing



Three important themes in twenty century physics

Quantization, symmetry, and phase factor





Thank You!

